

VI Part C

FFY2016 State Performance Plan / Annual Performance Report

Executive Summary:

The Virgin Islands Infants and Toddlers Program (VI-ITP), Part C of IDEA, is an early intervention program that serves infants and toddlers with established conditions and developmental delays, birth to three. The ITP serves the district of St. Thomas/St. John and Water Island, and the district of St. Croix. The average case load of the two districts is 150 children. The ITP offers case management services to assist and enable an infant or toddler with a disability and their family to receive their services and know their rights, coordinates evaluations and assessments, facilitates the development, review and evaluation of IFSPs and serve as the single point of contact in assisting parents of infants and toddlers in obtaining access to needed early intervention services. The early intervention services offered by the VI-ITP are free of charge to the family. Technical assistance is provided to the VI-ITP by a team of professionals representing the IDEA Data Center (IDC), the Early Childhood Technical Assistance Center (ECTA Center), the DaSy Center and the National Center for Systemic Improvement (NCSI) who are experienced in IDEA requirements and compliance mandates.

Prior to the Hurricanes the St. Croix offices in the Charles Harwood Complex were plagued with mold infestation and sporadically working a/c prevented staff from working in the building and contributed to the mold issues. After hurricanes Irma and Maria, the building was compromised even more with mold, water, etc. and was deemed unfit for employees to enter, therefore the ITP staff had to remove child records and other pertinent documents from the building before they were damaged. The ITP continued to deliver early intervention services to our clients who could be located and collected and recorded data for services rendered. Many clients left the islands after these hurricanes or relocated to other areas and the ITP is continually trying to find these families.

The VI-ICC will need to be re-appointed by the Governor of the USVI, as the members have dwindled due to changing of positions, resignations, etc. There are a few members who have been working with the ITP in the development of our SSIP, but this is not a quorum. The Commissioner of Health has been made aware of this is and is in the process of coordinating this appointment with the Governor.

WEBSITE ISSUES:

The website for the FFY 2016 SPP/APR is : <http://doh.vi.gov/programs/family-health/infants-toddlers/index.html>

At this time the DOH website is not functioning due to technical issues caused by Hurricanes Irma and Maria.

Attachments

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General Supervision System:

The systems that are in place to ensure that IDEA Part C requirements are met, e.g., monitoring systems, dispute resolution systems.

The Virgin Islands Infants and Toddlers Program (ITP) consists of two districts: St Thomas/St John and St Croix. The VI ITP has policies and procedures in place to ensure that IDEA requirements are met and processes which enable the program to **identify and correct noncompliance and** to prevent the recurrence of noncompliance.

When a finding of noncompliance is made, the VI Infants and Toddlers Program requires correction of noncompliance as soon as possible but no later than one year from identification (the date of written documentation concluding a finding of noncompliance has occurred). The VI Infants and Toddlers Program Director notifies in writing the early intervention personnel of any areas of noncompliance and further meets with all personnel to convey the areas of noncompliance and improvement and correction needed. To verify correction of noncompliance for individual children, the Virgin Islands reviews each child's record for whom noncompliance was identified to ensure that correction was made (e.g., transition steps are added to the child's IFSP).

Individual child records for whom noncompliance was identified are also reviewed to ensure that the child had an evaluation and assessment, IFSP developed, received the services, or had a transition conference although not timely. The Virgin Islands reviews additional child records to ensure that the districts are still in compliance and have met the timelines for subsequent children. The VI ITP Director sends a written letter to each district office stating that the noncompliance has been corrected within the specified timeframe (and in no case longer than one year from notification of the finding).

In this manner the VI Infants and Toddlers Program ensures that the districts are currently implementing the statutory/regulatory requirements.

Attachments

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FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Technical Assistance System:

The mechanisms that the State has in place to ensure the timely delivery of high quality, evidenced based technical assistance and support to early intervention service (EIS) programs.

The ITP received technical assistance from the Early Childhood Technical Assistance Center (ECTA Center) and the DaSy State Liason, FPG Child Development Institute, NCSI, and the IDC Center. The TA's in these centers are experienced in IDEA requirements and compliance, and assisted the ITP. The TA Centers have worked with the ITP to develop provider training to achieve better outcomes for children and families. There have been numerous conference calls facilitated by the TA centers with the stakeholders and ITP staff to plan and develop strategies for infrastructure improvements, including professional development to support Evidence Based Practices (EBP) and family training resources. There was broad input from the TA Centers in developing this APR and the SSIP Phase III. Assistance was given by the TA Centers to analyze the Child Outcomes data submitted by EI providers to analyze the performance of the ITP during this period. Comments from families of children enrolled in the ITP were collected through family survey responses and assistance was given by the TA centers to analyze these data.

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Professional Development System:

The mechanisms the State has in place to ensure that service providers are effectively providing services that improve results for infants and toddlers with disabilities and their families.

The ITP staff is committed, experienced, and dedicated to improving the lives of infants and toddlers in the Virgin Islands. Monthly provider meetings are conducted on each island to discuss issues, plan provider training and allow providers to seek assistance regarding any challenging issues, and provides the staff an opportunity to share strategies. Most of the staff on St. Thomas and St. Croix also work for the Department of Education, and therefore have access to various on-line, in-service training opportunities. Other professional development opportunities include webinars that allow the providers to work at their own pace. There are also strategies in place to train and mentor new staff/providers.

Both the St. Thomas and St. Croix districts use part-time staff who work for both preschool special education and the Infants and Toddlers Program, therefore they benefit from professional development trainings from both the DOE and the Infants and Toddlers Program. There are continued challenges regarding recruiting and retaining early intervention providers of OT, ST, and S/LP. The University of the Virgin Islands does not offer training programs for allied medical professions such as SLPs, OTs, and PTs. The ITP staff is committed, dedicated, and experienced in early intervention services. There are monthly provider meetings on each island that are used to discuss issues, allow providers to seek assistance regarding challenging cases and provides the staff an opportunity to share strategies. There is good communication and informal networking among the staff.

Attachments

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Stakeholder Involvement: apply this to all Part C results indicators

The mechanism for soliciting broad stakeholder input on targets in the SPP, including revisions to targets.

The State Systemic Improvement Plan was developed with broad stakeholder input from agencies throughout the territory. The Virgin Islands Infants and Toddlers stakeholders consist of members of the Interagency Coordinating Council (VI-ICC), who advise and assist the ITP regarding the provision of early intervention services for children with disabilities from birth to three and other child development agencies. The council has a diverse membership, including parents of children with a disability and state agencies involved in the provision of early intervention services, a member responsible for the State Medicare program, the SEA responsible for child care, and other entities who can give the ITP advice in all areas of child development. Conference calls were held with available stakeholders to review child outcomes and child find data from the previous APRs and solicit their input for any improvements in child-find activities.

A draft PDF of the APR/SPP information entered into the GRADS360 online submission tool and will be sent to all stakeholders by email to review in detail, and all are asked to provide suggested edits and clarification.

A copy of the final plan will be posted on the Department of Health's website and copies/links, will be available in each district office of the ITP and at the MCH clinics in each district and on St. John.

At this time the DOH website is not functioning due to hurricanes Irma and Maria.

The website for the FFY 2016 SPP/APR is : <http://doh.vi.gov/programs/family-health/infants-toddlers/index.html>

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

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No APR attachments found.		

Reporting to the Public:

How and where the State reported to the public on the FFY 2015 performance of each EIS Program or Provider located in the State on the targets in the SPP/APR as soon as practicable, but no later than 120 days following the State's submission of its FFY 2015 APR, as required by 34 CFR §303.702(b)(1)(i)(A); and a description of where, on its Web site, a complete copy of the State's SPP, including any revision if the State has revised the SPP that it submitted with its FFY 2015 APR in 2017, is available.

The APR will be disseminated to the public through various means in order to meet the public reporting requirement. The full report will be posted in each district at the administration office of ITP and MCH, and will be issued to the VI ICC members and SSIP stakeholders, early intervention providers and parents of children in the program. All stakeholders will be issued a draft of this report for input on the enhancement of the State Performance Plan and any improvement activities. Some of the stakeholders are parents of children with developmental delays and/or disabilities, heads of public and private programs/organizations serving families of children with developmental delays and/or disabilities that support and assist the Infants and Toddlers Program in the implementation of the early intervention system, and they understand the importance of early intervention in the territories.

The website for the SPP/APR is : <http://doh.vi.gov/programs/family-health/infants-toddlers/index.html>

At this time the DOH website is not working due to hurricanes Irma and Maria

Attachments

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Actions required in FFY 2015 response

OSEP Response

OSEP appreciates the efforts of VIDH in continuing to serve infants and toddlers with disabilities and their families as the Virgin Islands works on recovering from the devastation caused by hurricanes in 2017. We appreciate the timely submission of the SPP/APR, SSIP and the progress reports under VIDH's FFY 2017 IDEA Part C grant Special Conditions.

The Virgin Islands IDEA Part C determinations for both 2016 and 2017 were Needs Assistance. Pursuant to section 616(e)(1) of the IDEA and 34 C.F.R. § 300.604(a), OSEP's June 29, 2017 determination letter informed VIDH that it must report with its FFY 2017 SPP/APR submission, due February 1, 2018 on: (1) the technical assistance sources from which the VIDH received assistance; and (2) the actions VIDH took as a result of that technical assistance. VIDH provided the required information.

VIDH's IDEA Part C FFY 2018 grant is on Special Conditions from OSEP since its FFY 2001 IDEA Part C grant as well as Department-wide Special Conditions, which are respectively Enclosures C and B of OSEP's July 1, 2018 FFY 2018 IDEA Part C Grant Award letter to VIDH.

States were instructed to submit Phase III Year Two of the State Systemic Improvement Plan (SSIP) by April 2, 2018. The State provided the required information.

In the FFY 2017 APR, the State must report FFY data for the State-identified Measurable Result (SIMR). Additionally, the State must, consistent with its evaluation plan described in Phase II, assess and report on its progress implementing the SSIP. Specifically, the State must provide: (1) a narrative or graphic representation of the principal activities; (2) measures and outcomes that were implemented since the State's last SSIP submission (i.e., April 2, 2018); and (3) a summary of the infrastructure improvement strategies and evidence-based practices that were implemented and progress toward short- and long-term outcomes that are intended to impact the SIMR.

Required Actions

The State's IDEA Part C determination for both 2017 and 2018 is Needs Assistance. In the State's 2018 determination letter, the Department advised the State of available sources of technical assistance, including OSEP-funded technical assistance centers, and required the State to work with appropriate entities. The Department directed the State to determine the results elements and/or compliance indicators, and improvement strategies, on which it will focus its use of available technical assistance, in order to improve its performance.

The State must report, with its FFY 2017 SPP/APR submission, due February 1, 2019, on: (1) the technical assistance sources from which the State received assistance; and (2) the actions the State took as a result of that technical assistance.

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 1: Timely provision of services**

Monitoring Priority: Early Intervention Services In Natural Environments

Compliance indicator: Percent of infants and toddlers with Individual Family Service Plans (IFSPs) who receive the early intervention services on their IFSPs in a timely manner.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		100%	91.00%	95.20%	99.20%	97.00%	91.00%	99.00%	100%	100%	100%

FFY	2015
Target	100%
Data	95.80%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	100%	100%	100%

FFY 2016 SPP/APR Data

Number of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner	Total number of infants and toddlers with IFSPs	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
138	147	95.80%	100%	96.60%

<p>Number of documented delays attributable to exceptional family circumstances This number will be added to the "Number of infants and toddlers with IFSPs who receive their early intervention services on their IFSPs in a timely manner" field above to calculate the numerator for this indicator.</p>	4
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Include your State's criteria for "timely" receipt of early intervention services (i.e., the time period from parent consent to when IFSP services are actually initiated). Timely Services are defined as the time period from the parent's consent to when the IFSP services were started.

138 children received their services in a timely manner. Four (4) children did not receive timely services due to exceptional family circumstances. Five (5) children did not receive timely services due to program issues. The service coordinator did not refer these children to the EI provider in a timely manner so they could be located, but although late, these children did receive their EI services.

What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

These data were collected for the period July 1, 2016 to June 30, 2017

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

These data accurately reflect all children for whom an IFSP was developed from July 1, 2016 to June 30, 2017.

Provide additional information about this indicator (optional)

Correction of Previous Noncompliance

As required in the FFY 2015, based on reporting less than 100% compliance, the VI Infants and Toddlers Program is required to report on the status of correction of noncompliance identified in FFY 2015 for this indicator. In accordance with OSEP memo 09-02, the VI Infants and Toddlers program will describe the steps taken to correct and verify correction of noncompliance.

Correction of noncompliance for individual instances
1/17/2020

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Infants and Toddlers Program EI personnel, by district, were advised of the performance of the program and the need to make improvements and correct non-compliance. Although the notice was not written, staff was given 30 days to correct the non-compliance. The VI ITP director required each district to correct the individual and systemic non-compliance in accordance with the IDEA requirements in OSEP memo-09-02. Subsequent monitoring of the individual child who did not receive their services in a timely manner showed that the child received the services on their IFSP although they were late.

Further, a summary of the performance results in indicators #1, 2, 3, 4, 5, 6, 7, and 8 was shared and discussed with personnel. VI ITP director informed the service coordinators and personnel in each district of the non-compliance regarding timely IFSP services and the policy and requirement that IFSP services must begin no later than 30 days after the parent signs the IFSP.

Correction of FFY 2015 noncompliance for systemic issues "5 findings"

Additionally, the VI ITP reviewed updated early intervention records in each district. All IFSP services were delivered within the required timeline. Thus the Part C program is correctly implementing the requirements of OSEP memo 09-02 based on a review of data subsequently collected through on-site monitoring. It was determined that the districts had demonstrated that they were implementing the requirements consistent with providing services within 30 days after the parent consents to services on the IFSP.

Link to the FFY 2015 public reporting on the DOH website

<https://doh.canelabs.com/programs/infant-and-toddlers-program>

Actions required in FFY 2015 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

Correction of Findings of Noncompliance Identified in FFY 2015

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
5	5	0	0

FFY 2015 Findings of Noncompliance Verified as Corrected

Describe how the State verified that the source of noncompliance is correctly implementing the regulatory requirements

Infants and Toddlers Program EI personnel, by district, were advised of the performance of the program and the need to make improvements and correct non-compliance. Although the notice was not written, staff was given 30 days to correct the non-compliance. The VI ITP director required the St. Croix district to correct the non-compliance. Subsequent monitoring of the individual children who did not receive their services in a timely manner showed that the child received the services on their IFSP although they were late.

Further, a summary of the performance results in indicators #1, 2, 3, 4, 5, 6, 7, and 8 was shared and discussed with E.I. providers. VI ITP director informed the service coordinators and E.I. providers in each district of the non-compliance regarding timely IFSP services, and the policy and requirement that IFSP services must begin no later than 30 days after the parent signs the IFSP. The service coordinators were instructed to refer children to the E.I. providers as soon as reports are submitted to give them ample time to locate parents and start providing services.

Describe how the State verified that each individual case of noncompliance was corrected

Each child's record was reviewed to assure that they did receive their early intervention services. The ITP children received all early intervention services on their IFSP although the services started late.

OSEP Response

Because the State reported less than 100% compliance for FFY 2016, the State must report on the status of correction of noncompliance identified in FFY 2016 for this indicator. When reporting on the correction of noncompliance, the State must report, in the FFY 2017 SPP/APR, that it has verified that each EIS program or provider with noncompliance identified in FFY 2016 for this indicator: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program or provider, consistent with OSEP Memo 09-02.

In the FFY 2017 SPP/APR, the State must describe the specific actions that were taken to verify the correction. If the State did not identify any findings of noncompliance in FFY 2016, although its FFY 2016 data reflect less than 100% compliance, provide an explanation of why the State did not identify any findings of noncompliance in FFY 2016.

The timely service provision requirements and the related requirement regarding timely payment of early intervention service (EIS) providers are the subject of Special Conditions attached to the Virgin Islands' FFY 2017 IDEA Part C Grant Award letter, dated July 1, 2017. The Virgin Islands' IDEA Part C grant has been subject to these Special Conditions since FFY 2001. The FFY 2017 IDEA Part C Special Conditions require the Virgin Islands to continue using its third party agent to timely pay its EIS providers to ensure the uninterrupted provision of early intervention services to infants and toddlers with disabilities and their families. The FFY 2017 Special Conditions required the Virgin Islands to provide an initial progress report with the FFY 2016 APR, due February 1, 2018, and a final progress report due May 1, 2018. The Virgin Islands submitted the first required report on February 1, 2018 and its final progress report on April 24, 2018. OSEP will respond separately to the progress reports received related to VIDE's FFY 2017 Special Conditions.

Required Actions

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 2: Services in Natural Environments**

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥				86.00%	88.00%	90.00%	90.00%	91.00%	91.00%	92.00%	93.00%
Data		96.00%	97.44%	94.00%	97.00%	96.00%	96.00%	100%	99.00%	100%	100%

FFY	2015
Target ≥	94.00%
Data	98.35%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target ≥	95.00%	96.00%	97.00%

Key:

Targets: Description of Stakeholder Input

Stakeholders were given the opportunity to review and provide input on the proposed APR targets for all performance indicators.

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2016-17 Child Count/Educational Environment Data Groups	7/12/2017	Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	107	
SY 2016-17 Child Count/Educational Environment Data Groups	7/12/2017	Total number of infants and toddlers with IFSPs	107	

FFY 2016 SPP/APR Data

Number of infants and toddlers with IFSPs who primarily receive early intervention services in the home or community-based settings	Total number of infants and toddlers with IFSPs	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
107	107	98.35%	95.00%	100%

Actions required in FFY 2015 response

none

OSEP Response

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 3: Early Childhood Outcomes**

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of infants and toddlers with IFSPs who demonstrate improved:

- A. Positive social-emotional skills (including social relationships);
- B. Acquisition and use of knowledge and skills (including early language/ communication); and
- C. Use of appropriate behaviors to meet their needs.

(20 U.S.C. 1416(a)(3)(A) and 1442)

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Does your State's Part C eligibility criteria include infants and toddlers who are at risk of having substantial developmental delays (or "at-risk infants and toddlers") under IDEA section 632(5)(B)(i)? No

Historical Data

	Baseline Year	FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
A1	2008	Target ≥						84.20%	85.00%	85.40%	86.00%	86.00%	86.00%
		Data					84.20%	83.30%	92.30%	84.10%	90.90%	87.10%	77.78%
A2	2008	Target ≥						52.40%	53.00%	53.40%	54.00%	53.00%	53.00%
		Data					52.40%	63.60%	52.40%	56.50%	52.00%	43.94%	58.73%
B1	2008	Target ≥						84.20%	85.00%	85.20%	86.00%	86.00%	86.00%
		Data					84.20%	95.00%	97.40%	89.10%	95.70%	91.94%	78.18%
B2	2008	Target ≥						40.50%	41.00%	41.20%	41.50%	41.00%	41.50%
		Data					40.50%	68.20%	55.00%	52.20%	54.70%	40.91%	63.49%
C1	2008	Target ≥						83.80%	84.50%	85.00%	85.50%	86.00%	86.00%
		Data					83.80%	100%	81.30%	86.40%	89.10%	85.71%	84.62%
C2	2008	Target ≥						69.00%	69.50%	70.00%	70.50%	69.50%	69.50%
		Data					69.00%	90.90%	71.40%	63.80%	62.70%	46.97%	73.02%

	FFY	2015
A1	Target ≥	86.00%
	Data	80.00%
A2	Target ≥	53.50%
	Data	62.22%
B1	Target ≥	86.00%
	Data	74.36%
B2	Target ≥	42.00%
	Data	56.52%
C1	Target ≥	86.00%
	Data	77.50%
C2	Target ≥	69.50%
	Data	55.56%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target A1 ≥	86.50%	86.50%	87.00%
Target A2 ≥	54.00%	54.50%	55.00%
Target B1 ≥	86.50%	86.50%	87.00%
Target B2 ≥	42.50%	43.00%	43.50%
Target C1 ≥	86.50%	86.50%	87.00%
Target C2 ≥	69.50%	70.00%	70.00%

Key:

Targets: Description of Stakeholder Input

Stakeholders were given the opportunity to review and provide input on the proposed SPP targets for all performance indicators.

FFY 2016 SPP/APR Data

Number of infants and toddlers with IFSPs assessed	46.00
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FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Outcome A: Positive social-emotional skills (including social relationships)

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	0.00	
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	4.00	8.70%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	18.00	39.13%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	24.00	52.17%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	0.00	

	Numerator	Denominator	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
A1. Of those children who entered or exited the program below age expectations in Outcome A, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program (c+d)/(a+b+c+d).	42.00	46.00	80.00%	86.50%	91.30%
A2. The percent of infants and toddlers who were functioning within age expectations in Outcome A by the time they turned 3 years of age or exited the program (d+e)/(a+b+c+d+e).	24.00	46.00	62.22%	54.00%	52.17%

Reasons for A2 Slippage

A new service coordinator was hired for the St. Croix district and it appears that additional training is necessary to improve data quality.

Outcome B. Acquisition and use of knowledge and skills (including early language/ communication)

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	0.00	
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	4.00	8.70%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	23.00	50.00%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	19.00	41.30%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	0.00	

	Numerator	Denominator	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
B1. Of those children who entered or exited the program below age expectations in Outcome B, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program (c+d)/(a+b+c+d).	42.00	46.00	74.36%	86.50%	91.30%
B2. The percent of infants and toddlers who were functioning within age expectations in Outcome B by the time they turned 3 years of age or exited the program (d+e)/(a+b+c+d+e).	19.00	46.00	56.52%	42.50%	41.30%

Reasons for B2 Slippage

A new service coordinator was hired for the St. Croix district and it appears that additional training is necessary to improve data quality.

Outcome C: Use of appropriate behaviors to meet their needs

	Number of Children	Percentage of Children
a. Infants and toddlers who did not improve functioning	0.00	
b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers	5.00	10.87%
c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it	24.00	52.17%
d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers	17.00	36.96%
e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers	0.00	

	Numerator	Denominator	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
C1. Of those children who entered or exited the program below age expectations in Outcome C, the percent who substantially increased their rate of growth by the time they turned 3 years of age or exited the program (c+d)/(a+b+c+d).	41.00	46.00	77.50%	86.50%	89.13%
C2. The percent of infants and toddlers who were functioning within age expectations in Outcome C by the time they turned 3 years of age or exited the program (d+e)/(a+b+c+d+e).	17.00	46.00	55.56%	69.50%	36.96%

Reasons for C2 Slippage

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

A new service coordinator was hired for the St. Croix district and it appears that additional training is necessary to improve data quality.

The number of infants and toddlers who did not receive early intervention services for at least six months before exiting the Part C program

The number of infants and toddlers who exited the Part C program during the reporting period, as reported in the State's part C exiting 618 data	
The number of those infants and toddlers who did not receive early intervention services for at least six months before exiting the Part C program.	

Please note that this data about the number of infants and toddlers who did not receive early intervention services for at least six months before exiting the Part C program is optional in this FFY16 submission. It will be required in the FFY17 submission.

Was sampling used? No

Did you use the Early Childhood Outcomes Center (ECO) Child Outcomes Summary (COS) process? Yes

List the instruments and procedures used to gather data for this indicator.

The ITP uses a team approach including parent report, observation and the ELAP assessment tool at entry and exit.

Actions required in FFY 2015 response

none

OSEP Response

States must report the following data starting with the FFY 2017 SPP/APR submission, due February 2019: (1) the number of infants and toddlers who exited the Part C program during the reporting period, as reported in the State's Part C exiting data under Section 618 of the IDEA; and (2) the number of those infants and toddlers who did not receive early intervention services for at least six months before exiting the Part C program.

Required Actions

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**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 4: Family Involvement**

Monitoring Priority: Early Intervention Services In Natural Environments

Results indicator: Percent of families participating in Part C who report that early intervention services have helped the family:

- A. Know their rights;
- B. Effectively communicate their children's needs; and
- C. Help their children develop and learn.

(20 U.S.C. 1416(a)(3)(A) and 1442)

Historical Data

	Baseline Year	FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
A	2006	Target ≥					92.00%	92.50%	92.50%	92.50%	93.00%	93.00%	93.00%
		Data			86.00%	100%	96.00%	97.00%	98.00%	100%	94.92%	100%	100%
B	2006	Target ≥					83.00%	83.50%	83.50%	83.50%	84.00%	87.00%	87.00%
		Data			86.00%	100%	94.20%	99.00%	98.00%	100%	91.53%	100%	97.37%
C	2006	Target ≥					92.00%	92.50%	92.50%	92.50%	93.00%	93.00%	93.00%
		Data			86.00%	100%	96.10%	96.00%	93.00%	98.50%	93.22%	100%	100%

	FFY	2015
A	Target ≥	93.00%
	Data	95.45%
B	Target ≥	87.00%
	Data	98.86%
C	Target ≥	93.00%
	Data	97.73%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target A ≥	94.00%	94.00%	94.00%
Target B ≥	88.00%	88.00%	88.00%
Target C ≥	94.00%	94.00%	94.00%

Key:

Targets: Description of Stakeholder Input

Stakeholders were given the opportunity to review and provide input on the proposed SPP targets for all performance indicators.

FFY 2016 SPP/APR Data

Number of families to whom surveys were distributed	43.00
Number of respondent families participating in Part C	100% 43.00
A1. Number of respondent families participating in Part C who report that early intervention services have helped the family know their rights	43.00
A2. Number of responses to the question of whether early intervention services have helped the family know their rights	43.00
B1. Number of respondent families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs	42.00
B2. Number of responses to the question of whether early intervention services have helped the family effectively communicate their children's needs	43.00
C1. Number of respondent families participating in Part C who report that early intervention services have helped the family help their children develop and learn	43.00
C2. Number of responses to the question of whether early intervention services have helped the family help their children develop and learn	43.00

	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
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FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
A. Percent of families participating in Part C who report that early intervention services have helped the family know their rights	95.45%	94.00%	100%
B. Percent of families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs	98.86%	88.00%	97.67%
C. Percent of families participating in Part C who report that early intervention services have helped the family help their children develop and learn	97.73%	94.00%	100%

Was sampling used? No

Was a collection tool used? Yes

Is it a new or revised collection tool? No

The demographics of the families responding are representative of the demographics of infants, toddlers, and families enrolled in the Part C program.
Yes

Include the State's analysis of the extent to which the demographics of the families responding are representative of the demographics of infants, toddlers, and families enrolled in the Part C program.

In FFY 2016, 43 surveys were completed by ITP families. The Surveys are given to each family for whom a transition meeting was held during this report period. This data represents each category of children with developmental delays. Each family is asked to complete this short survey at the conclusion of their child's individual transition meeting. Parents typically complete the survey while waiting for copies of the meeting notes and/or other documents, thereby wisely using their time and providing a more convenient method for the family to respond. If a child does not transition due to moving or exiting the program without a transition meeting, the E.I. provider has the survey completed before closing the case.

Families are asked to respond to the family survey at the time of their transition meeting because in that way the parent does not have the responsibility of mailing the survey back to the ITP. The Virgin Islands Infants and Toddlers Program (Part C of IDEA), uses a modified Early Childhood Outcomes Center (ECO) Family Survey. All families who were asked to fill out the VI ECO Family Survey responded; therefore survey results are representative of the population of exiting families. Initially when the survey was mailed out to the families who were exiting, we received very low return responses. So it was decided that we would ask each family to complete this short survey at the conclusion of their child's individual transition meeting. The survey can be read to the parent, and any clarification needed could be provided at that time. The Service Coordinator asks the parents to complete the survey after the meeting.

A total of surveys 43 were given out, on St. Croix and 28 on St. Thomas 15. All surveys were returned to the program for a 100% response rate. These data represent each category of children with a developmental delay and/or disability, race, etc. Due to the high response rates from both islands, we feel that the survey results are representative of the population of exiting families.

Actions required in FFY 2015 response

none

OSEP Response

Required Actions

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 5: Child Find (Birth to One)**

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 1 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥				1.05%	1.04%	1.03%	1.03%	1.03%	1.06%	1.38%	1.38%
Data		1.38%	1.19%	1.67%	1.79%	2.33%	1.07%	1.90%	1.61%	0.72%	0.48%

FFY	2015
Target ≥	1.42%
Data	0.96%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target ≥	1.44%	1.48%	1.48%

Key:

Targets: Description of Stakeholder Input

Stakeholders were given the opportunity to review and provide input on the proposed SPP targets for all performance indicators.

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2016-17 Child Count/Educational Environment Data Groups	7/12/2017	Number of infants and toddlers birth to 1 with IFSPs	17	null
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2016	6/22/2017	Population of infants and toddlers birth to 1	null	1,672
TBD			null	

Explanation of Alternate Data

The denominator used (1,672), is the population from the census of 2010 because population data for children birth to one are not provided by OSEP for indicator 5. Because census is not provided for the USVI, the denominator used may not be reflective of the current population and percentage served may be higher. Birth rates have been steadily declining for several years based on data from the World Bank.

FFY 2016 SPP/APR Data

Number of infants and toddlers birth to 1 with IFSPs	Population of infants and toddlers birth to 1	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
17	1,672	0.96%	1.44%	1.02%

Compare your results to the national data

USVI data for 2016 is below the national data of 1.24%. Child find activities are a component of the SSIP and is an ongoing activity. The collaboration with other agencies is ongoing.

Actions required in FFY 2015 response

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

none

OSEP Response

Required Actions

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 6: Child Find (Birth to Three)**

Monitoring Priority: Effective General Supervision Part C / Child Find

Results indicator: Percent of infants and toddlers birth to 3 with IFSPs compared to national data.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥				2.53%	2.65%	2.67%	2.82%	2.79%	2.77%	2.58%	2.60%
Data		2.58%	2.29%	2.85%	2.81%	2.97%	2.89%	2.67%	2.83%	2.73%	2.79%

FFY	2015
Target ≥	2.62%
Data	2.08%

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target ≥	2.65%	2.70%	2.70%

Key:

Targets: Description of Stakeholder Input

Stakeholders were given the opportunity to review and provide input on the proposed SPP targets for all performance indicators.

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2016-17 Child Count/Educational Environment Data Groups	7/12/2017	Number of infants and toddlers birth to 3 with IFSPs	107	
U.S. Census Annual State Resident Population Estimates April 1, 2010 to July 1, 2016	6/22/2017	Population of infants and toddlers birth to 3	null	5807
TBD			null	

Explanation of Alternate Data

The denominator used (5807) is the population data from the 2010 census because the population of children birth to 3 are not provided by OSEP for indicator 6. Because census data is not provided for the USVI, the denominator used may not be reflective of the current population.

FFY 2016 SPP/APR Data

Number of infants and toddlers birth to 3 with IFSPs	Population of infants and toddlers birth to 3	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
107	5,807	2.08%	2.65%	1.84%

Reasons for Slippage

Compare your results to the national data

The Virgin Islands is below the national data which is 3.12%. The birth rate is declining due to many families leaving the islands due to high unemployment. Child find activities are a component of the SSIP and is an ongoing activity. The collaboration with other agencies who serve this population is ongoing.

Actions required in FFY 2015 response

none

OSEP Response

Required Actions

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 7: 45-day timeline**

Monitoring Priority: Effective General Supervision Part C / Child Find

Compliance indicator: Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		100%	94.40%	94.00%	97.23%	92.00%	94.00%	94.00%	93.00%	100%	100%

FFY	2015
Target	100%
Data	100%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	100%	100%	100%

FFY 2016 SPP/APR Data

Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline	Number of eligible infants and toddlers evaluated and assessed for whom an initial IFSP meeting was required to be conducted	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
131	136	100%	100%	97.79%

Number of documented delays attributable to exceptional family circumstances <i>This number will be added to the "Number of eligible infants and toddlers with IFSPs for whom an initial evaluation and assessment and an initial IFSP meeting was conducted within Part C's 45-day timeline" field above to calculate the numerator for this indicator.</i>	2
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Reasons for Slippage

136 children were evaluated and initial IFSP meeting was scheduled but 131 children had their IFSP meeting within the 45 day timeline. There were two children who had exceptional family circumstances and their IFSP was not held timely. There were three children that were not timely because of program reasons (delays in evaluations and assessments being conducted). Although late, all children 136 had an initial IFSP meeting. The service coordinator did not send the referrals to the EI providers in a timely manner in order to complete the evaluations so the IFSP could be held in a timely manner. Although late, all IFSPs were completed.

What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

All children with IFSPs during the period of July 1, 2016 - June 30, 2017.

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

The Virgin Islands Infants and Toddlers Program's (Part C of IDEA) reviewed all children with IFSPs during the period of July 1, 2016- June 30, 2017.

Actions required in FFY 2015 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings 1/17/2020

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

Correction of Findings of Noncompliance Identified in FFY 2015

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
null	null	null	0

OSEP Response

Because the State reported less than 100% compliance for FFY 2016, the State must report on the status of correction of noncompliance identified in FFY 2016 for this indicator. When reporting on the correction of noncompliance, the State must report, in the FFY 2017 SPP/APR, that it has verified that each EIS program or provider with noncompliance identified in FFY 2016 for this indicator: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program or provider, consistent with OSEP Memo 09-02.

In the FFY 2017 SPP/APR, the State must describe the specific actions that were taken to verify the correction. If the State did not identify any findings of noncompliance in FFY 2016, although its FFY 2016 data reflect less than 100% compliance, provide an explanation of why the State did not identify any findings of noncompliance in FFY 2016.

Required Actions

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8A: Early Childhood Transition**

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		100%	100%	100%	97.30%	100%	100%	100%	100%	100%	100%

FFY	2015
Target	100%
Data	100%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	100%	100%	100%

FFY 2016 SPP/APR Data

Data include only those toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday.

- Yes
- No

Number of children exiting Part C who have an IFSP with transition steps and services	Number of toddlers with disabilities exiting Part C	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
44	44	100%	100%	100%

Number of documented delays attributable to exceptional family circumstances <i>This number will be added to the "Number of children exiting Part C who have an IFSP with transition steps and services" field to calculate the numerator for this indicator.</i>	0
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What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

These data are collected for the time period of July 1, 2016 to June 30, 2017.

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

The Virgin Islands Infants and Toddlers Program - part C of IDEA, reviewed all children with IFSPs during the period of July 1, 2016 to June 30, 2017.

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Actions required in FFY 2015 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

Correction of Findings of Noncompliance Identified in FFY 2015

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
null	null	null	0

OSEP Response

Required Actions

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8B: Early Childhood Transition**

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		100%	100%	100%	100%	100%	100%	100%	100%	100%	100%

FFY	2015
Target	100%
Data	100%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	100%	100%	100%

FFY 2016 SPP/APR Data

Data include notification to both the SEA and LEA

- Yes
- No

Number of toddlers with disabilities exiting Part C where notification to the SEA and LEA occurred at least 90 days prior to their third birthday for toddlers potentially eligible for Part B preschool services	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
44	44	100%	100%	100%

<p>Number of parents who opted out This number will be subtracted from the "Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B" field to calculate the denominator for this indicator.</p>	0
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Describe the method used to collect these data

Data was reviewed from the State data base for all children exiting Part C during the entire reporting period of July 1, 2016 - June 30, 2017.

Do you have a written opt-out policy? No

What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).
1/17/2020

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

Data is for children with an IFSP for FFY 2016, July 1, 2016 through June 30, 2017

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

The Virgin Islands Infants and Toddlers Program (Part C of IDEA) reviewed all children with IFSPs during the period of July 1, 2016 - June 30, 2017

Actions required in FFY 2015 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

Correction of Findings of Noncompliance Identified in FFY 2015

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
null	null	null	0

OSEP Response

Required Actions

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 8C: Early Childhood Transition**

Monitoring Priority: Effective General Supervision Part C / Effective Transition

Compliance indicator: The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has:

- A. Developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday;
- B. Notified (consistent with any opt-out policy adopted by the State) the State educational agency (SEA) and the local educational agency (LEA) where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services; and
- C. Conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target			100%	100%	100%	100%	100%	100%	100%	100%	100%
Data		94.00%	100%	92.70%	99.00%	90.00%	87.00%	99.00%	100%	98.51%	100%

FFY	2015
Target	100%
Data	100%

Key: Gray – Data Prior to Baseline Yellow – Baseline

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target	100%	100%	100%

FFY 2016 SPP/APR Data

Data reflect only those toddlers for whom the Lead Agency has conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services

- Yes
- No

Number of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties at least nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B	Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
38	44	100%	100%	88.64%

Number of toddlers for whom the parent did not provide approval for the transition conference <i>This number will be subtracted from the "Number of toddlers with disabilities exiting Part C who were potentially eligible for Part B" field to calculate the denominator for this indicator.</i>	0
Number of documented delays attributable to exceptional family circumstances <i>This number will be added to the "Number of toddlers with disabilities exiting Part C where the transition conference occurred at least 90 days, and at the discretion of all parties at least nine months prior to the toddler's third birthday for toddlers potentially eligible for Part B" field to calculate the numerator for this indicator.</i>	1

Reasons for Slippage

Five children did not receive their transition conference 90 days prior to their 3rd birthday because the service coordinator could not coordinate the conference with Part B in a timely manner to give them the opportunity to attend.

What is the source of the data provided for this indicator?

- State monitoring
- State database

Provide the time period in which the data were collected (e.g., September through December, fourth quarter, selection from the full reporting period).

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

These data are for children in service during the period of July 1, 2016 to June 30, 2017.

Describe how the data accurately reflect data for infants and toddlers with IFSPs for the full reporting period.

The VI ITP (Part C of IDEA) reviewed all children with IFSPs during the period of July 1, 2016 to June 30, 2017

Actions required in FFY 2015 response

none

Note: Any actions required in last year's response table that are related to correction of findings should be responded to on the "Correction of Previous Findings of Noncompliance" page of this indicator. If your State's only actions required in last year's response are related to findings of noncompliance, a text field will not be displayed on this page.

Correction of Findings of Noncompliance Identified in FFY 2015

Findings of Noncompliance Identified	Findings of Noncompliance Verified as Corrected Within One Year	Findings of Noncompliance Subsequently Corrected	Findings Not Yet Verified as Corrected
null	null	null	0

OSEP Response

Because the State reported less than 100% compliance for FFY 2016, the State must report on the status of correction of noncompliance identified in FFY 2016 for this indicator. When reporting on the correction of noncompliance, the State must report, in the FFY 2017 SPP/APR, that it has verified that each EIS program or provider with noncompliance identified in FFY 2016 for this indicator: (1) is correctly implementing the specific regulatory requirements (i.e., achieved 100% compliance) based on a review of updated data such as data subsequently collected through on-site monitoring or a State data system; and (2) has corrected each individual case of noncompliance, unless the child is no longer within the jurisdiction of the EIS program or provider, consistent with OSEP Memo 09-02.

In the FFY 2017 SPP/APR, the State must describe the specific actions that were taken to verify the correction. If the State did not identify any findings of noncompliance in FFY 2016, although its FFY 2016 data reflect less than 100% compliance, provide an explanation of why the State did not identify any findings of noncompliance in FFY 2016.

Required Actions

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 9: Resolution Sessions**

Explanation of why this indicator is not applicable

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of hearing requests that went to resolution sessions that were resolved through resolution session settlement agreements (applicable if Part B due process procedures under section 615 of the IDEA are adopted).

(20 U.S.C. 1416(a)(3)(B) and 1442)

This indicator is not applicable.

OSEP Response

This indicator is not applicable to the State.

Required Actions

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Indicator 10: Mediation**

Monitoring Priority: Effective General Supervision Part C / General Supervision

Results indicator: Percent of mediations held that resulted in mediation agreements.

(20 U.S.C. 1416(a)(3)(B) and 1442)

Historical Data

Baseline Data: 2005

FFY	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014
Target ≥											
Data											

FFY	2015
Target ≥	
Data	

Key: Gray – Data Prior to Baseline Yellow – Baseline Blue – Data Update

FFY 2016 - FFY 2018 Targets

FFY	2016	2017	2018
Target ≥			

Key:

Targets: Description of Stakeholder Input

Prepopulated Data

Source	Date	Description	Data	Overwrite Data
SY 2016-17 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/1/2017	2.1.a.i Mediations agreements related to due process complaints	n	null
SY 2016-17 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/1/2017	2.1.b.i Mediations agreements not related to due process complaints	n	null
SY 2016-17 EMAPS IDEA Part C Dispute Resolution Survey; Section B: Mediation Requests	11/1/2017	2.1 Mediations held	n	null

FFY 2016 SPP/APR Data

2.1.a.i Mediations agreements related to due process complaints	2.1.b.i Mediations agreements not related to due process complaints	2.1 Mediations held	FFY 2015 Data*	FFY 2016 Target*	FFY 2016 Data
0	0	0			

Actions required in FFY 2015 response

none

OSEP Response

The State reported fewer than ten mediations held in FFY 2016. The State is not required to provide targets until any fiscal year in which ten or more mediations were held.

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FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR) Indicator 11: State Systemic Improvement Plan

Monitoring Priority: General Supervision

Results indicator: The State's SPP/APR includes a State Systemic Improvement Plan (SSIP) that meets the requirements set forth for this indicator.

Reported Data

Baseline Data: 2013

FFY	2013	2014	2015	2016
Target		41.50%	42.00%	42.50%
Data	40.90%	63.49%	56.52%	41.30%

Key: Gray – Data Prior to Baseline Yellow – Baseline
Blue – Data Update

FFY 2017 - FFY 2018 Targets

FFY	2017	2018
Target	43.00%	43.50%

Key:

Description of Measure

The measure used in the collection of data for this indicator is the Child Outcome Summary Form (COSF). Entry data is collected on all children who enter the program and exit data is collected upon exiting the system if the child has been in the program for at least six (6). Data under APR 3b- Summary Statement 2: Percent of infants and toddlers with IFSPs who were functioning within age expectations in the acquisition and use of knowledge and skills (including early language/communication) by the time they turn 3 years of age or exited the program.

Measurement for Summary Statement 2: Percent = # of infants and toddlers in progress category (d) plus [# of infants and toddlers reported in progress category (e) divided by the total # of infants and toddlers reported in progress categories (a) + (b) + (c) + (d) + (e)] times 100.

VI: $21(d) + 10(e) / (1(a) + 7(b) + 27(c) + 21(d) + 10(e)) = 31/66 = 40.9\%$

Targets: Description of Stakeholder Input

TA providers (ECTA, DaSy, and IDC) assisted in the calculations of targets based on realistic expectations of progress and after stakeholder input. The baseline was established from the last fiscal year's level (40.9%). Targets were set by incrementally increasing to 43.5% over the five year period. In order to put in place territory-wide evidence-based improvement strategies that impact improved acquisition and use of knowledge and skills, smaller increments of improvement were selected for the first three (3) years with higher targets set for the remaining years.

Overview

Data Analysis

A description of how the State identified and analyzed key data, including data from SPP/APR indicators, 618 data collections, and other available data as applicable, to: (1) select the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families, and (2) identify root causes contributing to low performance. The description must include information about how the data were disaggregated by multiple variables (e.g., EIS program and/or EIS provider, geographic region, race/ethnicity, socioeconomic status, gender, etc.) As part of its data analysis, the State should also consider compliance data and whether those data present potential barriers to improvement. In addition, if the State identifies any concerns about the quality of the data, the description must include how the State will address these concerns. Finally, if additional data are needed, the description should include the methods and timelines to collect and analyze the additional data.

See attached Phase III Year 2 narrative

Analysis of State Infrastructure to Support Improvement and Build Capacity

A description of how the State analyzed the capacity of its current infrastructure to support improvement and build capacity in EIS programs and/or EIS providers to implement, scale up, and sustain the use of evidence-based practices to improve results for infants and toddlers with disabilities and their families. State systems that make up its infrastructure include, at a minimum: governance, fiscal, quality standards, professional development, data, technical assistance, and accountability/monitoring. The description must include current strengths of the systems, the extent the systems are coordinated, and areas for improvement of functioning within and across the systems. The State must also identify current State-level improvement plans and other early learning initiatives, such as Race to the Top-Early Learning Challenge and the Home Visiting program and describe the extent that these new initiatives are aligned, and how they are, or could be, integrated with, the SSIP. Finally, the State should identify representatives (e.g., offices, agencies, positions, individuals, and other stakeholders) that were involved in developing Phase I of the SSIP and that will be involved in developing and implementing Phase II of the SSIP.

State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and Their Families

A statement of the result(s) the State intends to achieve through the implementation of the SSIP. The State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families must be aligned to an SPP/APR indicator or a component of an SPP/APR indicator. The State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families must be clearly based on the Data and State Infrastructure Analyses and must be a child- or family-level outcome in contrast to a process outcome. The State may select a single result (e.g., increase the rate of growth in infants and toddlers demonstrating positive social-emotional skills) or a cluster of related results (e.g., increase the percentage reported under child outcome B under Indicator 3 of the SPP/APR (knowledge and skills) and increase the percentage trend reported for families under Indicator 4 (helping their child develop and learn)).

Statement

Description

Selection of Coherent Improvement Strategies

An explanation of how the improvement strategies were selected, and why they are sound, logical and aligned, and will lead to a measurable improvement in the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families. The improvement strategies should include the strategies, identified through the Data and State Infrastructure Analyses, that are needed to improve the State infrastructure and to support EIS program and/or EIS provider implementation of evidence-based practices to improve the State-identified result(s) for infants and toddlers with disabilities and their families. The State must describe how implementation of the improvement strategies will address identified root causes for low performance and ultimately build EIS program and/or EIS provider capacity to achieve the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families.

Theory of Action

A graphic illustration that shows the rationale of how implementing the coherent set of improvement strategies selected will increase the State's capacity to lead meaningful change in EIS programs and/or EIS providers, and achieve improvement in the State-identified Measurable Result(s) for Infants and Toddlers with Disabilities and their Families.

[THEORY OF ACTION](#) THEORY OF ACTION

 Provide a description of the provided graphic illustration (optional)

Infrastructure Development

- (a) Specify improvements that will be made to the State infrastructure to better support EIS programs and providers to implement and scale up EBPs to improve results for infants and toddlers with disabilities and their families.
- (b) Identify the steps the State will take to further align and leverage current improvement plans and other early learning initiatives and programs in the State, including Race to the Top-Early Learning Challenge, Home Visiting Program, Early Head Start and others which impact infants and toddlers with disabilities and their families.
- (c) Identify who will be in charge of implementing the changes to infrastructure, resources needed, expected outcomes, and timelines for completing improvement efforts.
- (d) Specify how the State will involve multiple offices within the State Lead Agency, as well as other State agencies and stakeholders in the improvement of its infrastructure.

The entire SSIP Phase II is attached in a PDF file.

Support for EIS programs and providers Implementation of Evidence-Based Practices

- (a) Specify how the State will support EIS providers in implementing the evidence-based practices that will result in changes in Lead Agency, EIS program, and EIS provider practices to achieve the SIMR(s) for infants and toddlers with disabilities and their families.
- (b) Identify steps and specific activities needed to implement the coherent improvement strategies, including communication strategies and stakeholder involvement; how identified barriers will be addressed; who will be in charge of implementing; how the activities will be implemented with fidelity; the resources that will be used to implement them; and timelines for completion.
- (c) Specify how the State will involve multiple offices within the Lead Agency (and other State agencies such as the SEA) to support EIS providers in scaling up and sustaining the implementation of the evidence-based practices once they have been implemented with fidelity.

The entire SSIP Phase II is attached in a PDF file.

Evaluation

- (a) Specify how the evaluation is aligned to the theory of action and other components of the SSIP and the extent to which it includes short-term and long-term objectives to measure implementation of the SSIP and its impact on

FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)

achieving measurable improvement in SiMR(s) for infants and toddlers with disabilities and their families.

(b) Specify how the evaluation includes stakeholders and how information from the evaluation will be disseminated to stakeholders.

(c) Specify the methods that the State will use to collect and analyze data to evaluate implementation and outcomes of the SSIP and the progress toward achieving intended improvements in the SiMR(s).

(d) Specify how the State will use the evaluation data to examine the effectiveness of the implementation; assess the State's progress toward achieving intended improvements; and to make modifications to the SSIP as necessary.

The entire SSIP Phase II is attached in a PDF file.

Technical Assistance and Support

Describe the support the State needs to develop and implement an effective SSIP. Areas to consider include: Infrastructure development; Support for EIS programs and providers implementation of EBP; Evaluation; and Stakeholder involvement in Phase II.

The entire SSIP Phase II is attached in a PDF file.

Phase III submissions should include:

- Data-based justifications for any changes in implementation activities.
- Data to support that the State is on the right path, if no adjustments are being proposed.
- Descriptions of how stakeholders have been involved, including in decision-making.

A. Summary of Phase 3

1. Theory of action or logic model for the SSIP, including the SiMR.
2. The coherent improvement strategies or principle activities employed during the year, including infrastructure improvement strategies.
3. The specific evidence-based practices that have been implemented to date.
4. Brief overview of the year's evaluation activities, measures, and outcomes.
5. Highlights of changes to implementation and improvement strategies.

The entire SSIP Phase III is attached in a PDF file.

B. Progress in Implementing the SSIP

1. Description of the State's SSIP implementation progress: (a) Description of extent to which the State has carried out its planned activities with fidelity—what has been accomplished, what milestones have been met, and whether the intended timeline has been followed and (b) Intended outputs that have been accomplished as a result of the implementation activities.
2. Stakeholder involvement in SSIP implementation: (a) How stakeholders have been informed of the ongoing implementation of the SSIP and (b) How stakeholders have had a voice and been involved in decision-making regarding the ongoing implementation of the SSIP.

The entire SSIP Phase III is attached in a PDF file.

C. Data on Implementation and Outcomes

1. How the State monitored and measured outputs to assess the effectiveness of the implementation plan: (a) How evaluation measures align with the theory of action, (b) Data sources for each key measure, (c) Description of baseline data for key measures, (d) Data collection procedures and associated timelines, (e) [If applicable] Sampling procedures, (f) [If appropriate] Planned data comparisons, and (g) How data management and data analysis procedures allow for assessment of progress toward achieving intended improvements
2. How the State has demonstrated progress and made modifications to the SSIP as necessary: (a) How the State has reviewed key data that provide evidence regarding progress toward achieving intended improvements to infrastructure and the SiMR, (b) Evidence of change to baseline data for key measures, (c) How data support changes that have been made to implementation and improvement strategies, (d) How data are informing next steps in the SSIP implementation, and (e) How data support planned modifications to intended outcomes (including the SiMR)—rationale or justification for the changes or how data support that the SSIP is on the right path
3. Stakeholder involvement in the SSIP evaluation: (a) How stakeholders have been informed of the ongoing evaluation of the SSIP and (b) How stakeholders have had a voice and been involved in decision-making regarding the ongoing evaluation of the SSIP

The entire SSIP Phase III is attached in a PDF file.

D. Data Quality Issues: Data limitations that affected reports of progress in implementing the SSIP and achieving the SiMR

1. Concern or limitations related to the quality or quantity of the data used to report progress or results
2. Implications for assessing progress or results
3. Plans for improving data quality

The entire SSIP Phase III is attached in a PDF file.

E. Progress Toward Achieving Intended Improvements

1. Infrastructure changes that support SSIP initiatives, including how system changes support achievement of the SiMR, sustainability, and scale-up
2. Evidence that SSIP's evidence-based practices are being carried out with fidelity and having the desired effects
3. Outcomes regarding progress toward short-term and long-term objectives that are necessary steps toward achieving the SiMR
4. Measurable improvements in the SiMR in relation to targets

The entire SSIP Phase III is attached in a PDF file.

F. Plans for Next Year

1. Additional activities to be implemented next year, with timeline
2. Planned evaluation activities including data collection, measures, and expected outcomes
3. Anticipated barriers and steps to address those barriers
4. The State describes any needs for additional support and/or technical assistance

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The entire SSIP Phase III is attached in a PDF file.

OSEP Response

Required Actions

**FFY 2016 Part C State Performance Plan (SPP)/Annual Performance Report (APR)
Certify and Submit your SPP/APR**

I certify that I am the Director of the State's Lead Agency under Part C of the IDEA, or his or her designee, and that the State's submission of its IDEA Part C State Performance Plan/Annual Performance Report is accurate.

Selected: Lead Agency Director

Name and title of the individual certifying the accuracy of the State's submission of its IDEA Part C State Performance Plan/Annual Performance Report.

Name: Nona McCray

Title: Program Director

Email: nona.mccray@doh.vi.gov

Phone: 340-714-4052